



Annex 1
to the Rules on General Terms of Transactions
of JSC Halyk Bank
approved by BoD resolution No. 65 dated 15.07.2011
as amended by: BoD resolution No. 160 dated 12.10.2011
BoD resolution No. 142 dated 30 May 2014
BoD resolution No. 157 dated 10 June 2016
BoD resolution No. 201 dated 12.12.2016 (effective from 28.12.2016).
No. 486 dated 13.06.2022 (effective from 23.06.2022.).
No. 1149 dated 05.05.2023 (effective from 18.05.2023r).

TARIFFS FOR BROKERAGE SERVICES AT SECURITIES MARKET

No.	Transaction	Fee	Comments
1.1	Opening of a personal account for securities accounting	KZT 10,000 + tariff of Central Securities Depository JSC, custodian bank	for each account
1.2	Issue of a personal account statement/report on personal account transactions (at the customer's request)	KZT 5,000 + tariff of JSC Central Securities Depository, custodian bank (Scheduled statement/report free of charge)	for each statement/report
1.3	Maintaining a customer personal account for securities and other financial instruments	0.002% per annum of the nominal value of assets for the last calendar day of the month, min. KZT 50,000 per month + tariff of Central Securities Depository JSC, custodian bank	
1.4	Transfer of securities and other financial instruments:	KZT 10,000 + tariff of Central Securities Depository JSC, custodian bank	for each account
1.5	Processing of collateral transactions	KZT 10,000 + tariff of Central Securities Depository JSC, custodian bank	for each account
1.6	Repurchase transactions in government securities:	5% of income, min. KZT 50,000 + tariff of Kazakhstan Stock Exchange JSC	plus any difference between the closing and opening amount for each transaction (applications are accepted with a minimum transaction amount of KZT1 billion at par, applications of less than KZT1 billion are not accepted. Fees are payable on the date the service is provided).
1.7	Carrying out of securities sale and purchase transactions:	0.005% of transaction amount+ tariff of Kazakhstan Stock Exchange JSC	for each transaction (applications are accepted with a minimum transaction volume of KZT1 billion at par, applications of less than KZT1 billion are not accepted. Fees are payable on the date the service is provided).
Tariffs of Central Securities Depository JSC, custodian bank, Kazakhstan Stock Exchange JSC, on items 1.1-1.5 are paid by the customer against invoice, other tariffs			
No.	Reference:		
1.	Brokerage fees are not subject to VAT;		
2.	The fees in items 1.6 and 1.7 shall not be billed.		