

FATCA QUESTIONNAIRE for financial institutions

1. Name of the financial institution: _____
2. Is your financial institution registered on the Internal Revenue Service (IRS) website?
- ☐ Yes ☐ No
3. If your financial institution is registered on the IRS website, please submit:
- a) Name of the financial institution in English as it appears on the FATCA registration form;
- b) Global Intermediary Identification Number (GIIN);
- c) Select your institution's FATCA status below:
- ☐ Participating Financial Institution ("FI") (without Intergovernmental Agreement or Participating FFI not covered by IGA);
- ☐ Reporting Model 1 FFI;
- ☐ Reporting Model 2 FFI;
- ☐ Registered Deemed Compliant FFI;
- ☐ Sponsored Investment Entity.

Enter the details of the Responsible Officer and Points of Contact under FATCA:

<i>Full name</i>	<i>Position</i>	<i>Contact number</i>	<i>E-mail</i>

If your financial institution is not registered on the IRS website/ does not have a GIIN number, please indicate the reason:

- ☐ Financial institution is not participating in FATCA;
☐ Financial institution has limited status (Limited FFI);
☐ Financial institution is exempt from FATCA requirements (Excepted FFI);
☐ Financial institution is registered on the IRS portal, a GIIN has been requested and will be provided within thirty (30) days (Participating FFI, not approved);
☐ Financial institution that provides information about its owners (Owner-Documented FFI);
☐ Financial institution is a Certified Deemed-Compliant FFI. Indicate the type of organization:
☐ Nonregistering Local Bank;
☐ FFI with only Low-value Accounts;
☐ Sponsored, Closely Held Investment Vehicle;
☐ Limited Life Debt Investment Entity;
☐ Other.

Questionnaire filled in by:

Full name, signature: _____

Position: _____

Date: _____