

BENEFICIAL OWNER FATCA¹ QUESTIONNAIRE

All fields are mandatory

Halyk Bank JSC ensures the confidentiality of the information contained in this Questionnaire.

Full name of the beneficial owner of the legal entity (the “company”):

1. Is the beneficial owner of the company a citizen of the United States of America (the USA)?
 - ☐ yes²;
 - ☐ no.
2. Is the beneficial owner of the company a U.S. resident, including for tax purposes?
 - ☐ yes (select the correct answer)
 - a person with a U.S. residence permit;
 - a Green Card holder;
 - a person who has been in the U.S. for more than 183 days in the last three years;
 - ☐ no.
3. Was the beneficial owner of the company born in (within) the U.S.?
 - ☐ yes³ (specify place of birth in the USA _____);
 - ☐ no.
4. Does the beneficial owner of the company have a current residence address in the U.S., including a Post Office box?
 - ☐ yes⁴ (specify U.S. residence address _____);
 - ☐ no
5. Does the beneficial owner of the company have a U.S. registered address, including a Post Office box?
 - ☐ yes (specify the address of registration in the USA _____);
 - ☐ no
6. Does the beneficial owner of the company have a current U.S. telephone number?
 - ☐ yes (specify US phone number _____);
 - ☐ no.
7. Does the beneficial owner of the company have a long standing money transfer order to a U.S. bank account to be executed by our Bank?
 - ☐ yes;
 - ☐ no.
8. Has the beneficial owner of the company granted power of attorney or signature authority to a person with a U.S. address?
 - ☐ yes;

¹ US Foreign Account Tax Compliance Act.

² If you answered “Yes” to questions 1 and 2 of the questionnaire, submit the following documents of the beneficial owner of the company:

a) U.S. Citizen/Resident Tax Form W-9 (the form can be printed from the U.S. Internal Revenue Service website at <http://www.irs.gov>);

b) US Citizen/Resident tax registration number card (ITIN card);

c) passport of a U.S. citizen/resident.

³ If you answered “Yes” to question 3 of the questionnaire, but the beneficial owner of the company is not a U.S. citizen/resident (including for tax purposes), you must additionally submit a Certificate of Loss of U.S. Citizenship/ a written Statement to the Branch Director explaining the reason for the loss of U.S. citizenship or failure to obtain U.S. citizenship at birth and a Statement to the Branch Director that the beneficial owner of the company is not a U.S. citizen/resident (application templates shall be given to the Customer by the employee).

⁴ If you answered “Yes” to questions 4 - 9 of the questionnaire, but the beneficial owner of the company is not a US citizen/resident (including for tax purposes), you should additionally submit a Statement to the branch director that the beneficial owner of the company is not a US citizen/resident (including for tax purposes).

☐ no.

9. Does the beneficial owner of the company have a U.S. address where correspondence should be sent to in case of emergencies/no permanent address, an address for demand correspondence, which is the sole contact address of the beneficial owner?

☐ yes (specify the U.S. address _____);
☐ no.

Date of filling in the Questionnaire: _____

(full name of the representative) (signature)

(full name and signature of the Bank employee responsible for account opening) (signature)