

FATCA¹ QUESTIONNAIRE
(for individuals, individual entrepreneurs)

All fields are mandatory

JSC Halyk Bank of Kazakhstan ensures the confidentiality of the information contained in this Questionnaire.

Full name: _____

1. Are you a citizen of the United States of America?
 - ☐ yes²;
 - ☐ no.
2. Are you a U.S. resident, including for tax purposes?
 - ☐ yes. Category:
 - person with a U.S. residence permit;
 - Green Card holder;
 - person who has been in the U.S. for more than 183 days in the last three years;
 - ☐ no.
3. Were you born in the United States of America?
 - ☐ yes³. Place of birth in the U.S. _____;
 - ☐ no.
4. Do you have a current U.S. residential address, including a P.O. Box (PostOfficebox)?
 - ☐ yes⁴. U.S. residential address _____.
 - ☐ no.
5. Do you have a U.S. registration address, including a P.O. box (PostOffice box)?
 - ☐ yes. U.S. registration address _____;
 - ☐ no.
6. Do you have a current U.S. telephone number?
 - ☐ yes. U.S. phone number _____;
 - ☐ no.
7. Do you have long standing orders for our Bank to transfer money to a U.S. account?
 - ☐ yes;
 - ☐ no.
8. Have you granted power of attorney or signature authority to a person with a U.S. address?
 - ☐ yes;
 - ☐ no.
9. Do you have a U.S. address which correspondence should be sent to in case of emergencies/no permanent address, a demand address, which is your only contact address?
 - ☐ yes. U.S. address _____;

¹ U.S. Foreign Account Tax Compliance Act.

² If you answered "Yes" to questions 1 and/or 2 of the questionnaire, submit the following documents:

a) U.S. Citizen/Resident Tax Form W-9 (the form can be printed from the IRS website at <http://www.irs.gov>);

b) US Citizen/Resident tax registration number card (ITIN card);

b) passport of a U.S. citizen/resident.

³ If you answered "Yes" to question 3 of the questionnaire, but you are not a US citizen/resident (including for tax purposes), you must additionally submit a Certificate of Loss of US Citizenship or a written statement to the branch director explaining the reason for loss of US citizenship or failure to obtain US citizenship at birth and a statement to the branch director that you are not a US citizen/resident (application templates shall be given to the customer by the Bank employee).

⁴ If you answered "Yes" to questions 4 - 9 of the questionnaire, but you are not a US citizen/resident (including for tax purposes), you should additionally submit a Statement to the branch director (template of the statement shall be given to the customer by the Bank employee) that you are not a US citizen/resident (including for tax purposes).

○ no.

Date of filling in the Questionnaire: ____ 20____

(full name of the customer) (signature)

(full name of the Bank employee attending the customer) (signature)